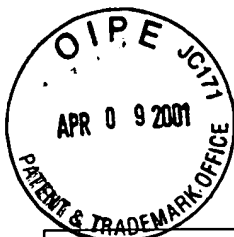




U.S. Department of Commerce Patent and Trademark Office (Rev. 2-32)				Atty. Docket No. SIROS-00-013		Serial No. 09/650,969		
Information Disclosure Statement by Applicant				Applicant: Thornton, et al.				
(Use several sheets if necessary)				Filed: 8/29/00		Group: 2881		
U.S. Patent Documents								
Init.		Document No.	Date	Name	Class	Subclass	Filing Date	
DM		4,460,977	7/17/84	Shimada, et al.	365	106	2/3/82	
		4,860,276	8/22/89	Ukita, et al.	369	119	9/16/87	
		5,559,773	9/24/96	Kentatsu, et al.	369	44.42	5/31/94	
		5,590,110	12/31/96	Sato	369	100	12/27/94	
		5,619,371	4/8/97	Pontius	359	368	3/2/95	
		5,625,617	4/29/97	Hopkins, et al.	369	121	9/6/95	
		5,636,190	6/3/97	Choi	369	44.23	5/15/95	
		5,696,372	12/9/97	Grober, et al.	250	216	7/31/96	
		5,894,467	4/13/99	Wang	369	121	2/19/97	
		5,917,848	6/29/99	Claisse, et al.	372	96	7/17/97	
DM		5,978,408	11/2/99	Thornton	372	96	9/30/97	
Foreign Documents								
Translation								
Init.		Document No.	Date	Country	Class	Subclass	Yes	No
DM		JP 10143895	5/29/98	Japan	G11B007	125		X
		JP 10172166	6/26/98	Japan	G11B007	125		X
		JP 10255302	9/25/98	Japan	G11B007	125		X
		JP 10340468A	12/22/98	Japan	G11B007	125	X	
		JP 63306546A	12/14/98	Japan	G11B007	135	X	
DM		WO 99/01277	1/14/99	PCT	B32B3	00		
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)								
DM		Modeling of Micro-Aperture Surface Emitting Lasers for Near-Field Optics, Koyama, et al., Oct-'98.						
		Proposal of Ultrahigh Density Optical Disk System Using a Vertical Cavity Surface Emitting Laser Array, Kenya Goto, 1-19-98.						
		High Bit Rate and Tera Bytes Optical Memory in a Disk System, Kenya Goto, Japan, Spie Vol. 3109						
		Electron Cyclotron Resonance (ECR) Sputtered Antireflection Coatings on Laser Facets for Optical Memory Applications, Kim, et al., Japan, Vol. 37, pp. 2201-2202, April '98.						
		Near-Field Analysis of Micro-Aperture Surface Emitting Laser for High Density Optical Data Storage, Shinada, et al., Vol. 6, No. 6, 8-'99.						
Examiner	Dannette Marblan				Date Considered 3/27/02			
Examiner: Initial if citation considered, whether or not citation is in conference with MPEP 609; Draw line through citation if								



Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. SIROS-00-013	Serial No. 09/650,969
Information Disclosure Statement by Applicant		Applicant: Thornton, et al.	
(Use several sheets if necessary)		Filed: 8/29/00 Group: 2881	
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)			
dm		Near-Field Analysis of Micro-Aperture Surface Emitting Laser for High Density Optical Data Storage, Shinada, et al., Optical Review - Vol. 6, No. 6, 8-'99.	
		High-power Laser Light Source for Near-Field Optics and its Application to High-Density Optical Data Storage, Partovi, et al., Vol. 75, No. 11, 7-'99.	
		Gradient-Index Microlens formed by Ion-Beam Sputtering, Shimada, et al., Applied Optics/Vol. 31, No. 25, 9-'92.	
		Optical Near-Field Aperture Storage Technique (ONFAST) Partovi, et al.,	
		An Optically Accessed Memory using the Lippmann Process for Information Storage, Fleisher, et al., Performed under Government Contract No. AF33-657-11589, Aeronautical Systems Division, 1965.	
		High-Density Optical Recording using a solid Immersion Lens, Ichimura, et al., Vol. 36, No. 19, July-'97.	
		Optical Heads Based on Coupled-Cavity Laser Diode, Yoshitada, et al., Japan - 1916, Spie Vol. 2514.	
		Applications of an Extremely Short Strong-Feedback Configuration of an External-Cavity Laser Diode System Fabricated with GaAs-Based Integration Technology, Ukita, et al., Vol. 33, No. 24, 8-'94	
		Flying Head Read/Write Characteristics using a Monolithically Integrated Laser Diode/Photodiode at a Wavelength of 1.3 μ m, Ukita, et al., Spie Vol. 1499, Japan - '91.	
		Supersmall Flying Optical Head for Phase Change Recording Media, Ukita, et al., Applied Optics/ Vol. 28, No. 20, Oct. - '89.	
		Read/Write Performance and Reliability of a Flying Optical Head using a Monolithically Integrated LD-PD, Ukita, et al., Vol. 30, No. 26, 9-'91.	
		Beam Converging Laser Diode by Taper Ridge Waveguide, Vol. 24, No. 10, May '88.	
		Readout Characteristics of Micro-Optical Head Operated in Bi-Stable Mode, Ukita, et al., Japan - '87.	
		Near-Field Optical Data Storage, Terris, et al., No. 2, Jan. - '96.	
		Proposal of a Near Field Optical Head using a New Solid Immersion Mirror, Ueyanagi, et al., Japan, 7/'99.	
		Experiments of Novel Optical Floppy Disk Drive using Phase Change Optical Medium & Quasi-Near Field Optical Head, Vol. 3864, 7/'99.	
		Optical Near-Field Aperture Storage Technique (ONFAST) for High Density, High Performance Data Storage Applications, Afshin Partovi, Vol. 3864, July '99.	
		Optical Near-Field Probe action in Microdisk Laser with 0.12 Resolution, Yamada, et al., Vol. 35, No. 3, '99.	
dm		Proposal of Optical Near-Field Probe Using Evanescent Field of Microdisk Laser, Sakai, et al., Japan, '97.	
Examiner		Date Considered	
Dorienne Monbleau		3/27/02	
Examiner: Initial if citation considered, whether or not citation is in conference with MPEP 609; Draw line through citation if not conformance and not considered. Include a copy of this form with the next communication to applicant.			